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JCS74 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new non-provisional applications under 37 CFR 1.53(b)  
Check Box, if applicable ☐ Duplicate

Attorney  
Docket No.

96074DIV  
(3600-011-01)

Total Pages

80

First Named Inventor or Application Identifier

Ranjan GHOSAL, James A. BELMONT, Douglas M. SMITH, and  
Janeel MENASHI

Express Mail Label No. EL352564703US

APPLICATION ELEMENTS FOR:  
MODIFIED CARBON ADSORBENTS AND PROCESSES FOR  
ADSORPTION USING THE SAME

ADDRESS TO:  
Assistant Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

U.S. PTO  
09/475385  
12/30/99

1. ☒ Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)
2. ☒ Application Total Pages [32]
3. ☒ Drawing(s) (35 USC 113) (Figs. 1-5) Total Sheets [5]
4. ☒ Oath or Declaration and Power of Attorney Total Pages [12]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
  - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) Total Sheets [9]
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

Date December 30, 1999 Label No. EL352564703US

I hereby certify that, on the date indicated above, I deposited this paper or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

*Gregory C. B. Smith*

Name (Print)

*Gregory C. B. Smith*

Signature

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney  
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**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement

☐ Copies of IDS Citations

Total Pages [16]

12. ☒ Preliminary Amendment (3 PAGES)

☒ Please cancel claims 1-17, 26, and 27

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s)

☐ Statement filed in prior application

15. ☐ Claim for Convention Priority

☐ Certified copy of Priority Document(s)

a. Priority of application no. filed on is claimed under 35 USC 119.

The certified copies/copy have/has been filed in prior application Serial No.

(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP).

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$760.00
Total Claims	18 - 20	0	X \$18.00	\$000.00
Independent Claims	2 - 3	0	X \$78.00	\$000.00
Multiple Dependent Claims			\$260.00	
			Filing Fee	\$ 760.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$760.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

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Attorney  
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**PAGE 3 OF 3**

☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the filing fee.

☒ Please charge Deposit Account No. 03-0060 in the total amount of \$760.00 to cover the filing fee.  
A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 03-0060. A duplicate of this sheet is attached.

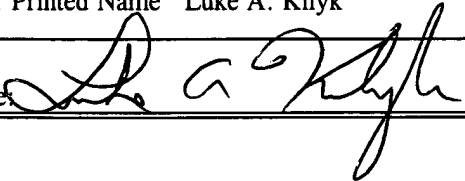
**18. CORRESPONDENCE ADDRESS**

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**SUBMITTED BY**

Typed or Printed Name Luke A. Kilyk

Reg. No. 33,251

Signature: 

Date: December 30, 1999